

Application to Register a Transfer of ACE

Document Number

FishServe use only

From: (ACE Owner/Transferor)

ACE Owner Client Number

This is the person from whom the ACE is being transferred.

ACE Owner Full Legal Name

To: (ACE Buyer/Transferee)

Transferee Client Number

This is the person to whom the ACE is being transferred.

Transferee Full Legal Name

Fishing Year

Tick the fishing year which applies to the stocks which you are transferring and then specify the fishing year for which you want to transfer ACE.

E.g. "This transfer application is for stocks with an April fishing year and ACE for the 2014/2015 fishing year of these stocks".

Note:

(1) All transfer lines listed in this application will be transferred for the fishing year you specify here.

(2) You must complete a separate application for each fishing year.

E.g.) Stocks with fishing year of October 2014/2015 must be transferred on a separate application to stocks with an April 2014/2015 fishing year and so on.

This transfer application is for:

Stocks with either: (tick one only)

an October fishing year

or

a February fishing year

or

an April fishing year

and

ACE for the

Year

Year

fishing year of these stocks.

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Receipt No. _____

Fee Required \$ _____

Fee Paid \$ _____

Data Entry Initials _____

Data Entry completed ___ / ___ / ___

OFFICE USE ONLY

DATE PRESENTED

Transfer Details

If you are transferring ACE for more stocks than this form provides for, continue on a photocopy of the Transfer Details table on pages two or three of this form. Any additional stock pages must be signed by the ACE owner and must be securely attached to this application.

Transfer Amount (in whole measurements)

This is the amount of ACE being transferred for the stock. The amount must be given in whole kilograms or, for OYU5 in whole oyster numbers. You do not need to write "kgs" or "oyster numbers", as the official unit of measure for the stock will apply automatically to the amount given.

E.g. If you want to transfer 1200kg of ACE, write "1200". Do not write "1.2" or any other unit of measure.

Sale Price per Line

The sale price received for each line.

TAC ACE

Complete this column only if you are transferring TAC ACE (Total Allowable Catch ACE).

TAC ACE is additional ACE which may be allocated to quota owners during the fishing year as a result of an in-season TAC increase for a stock under section 68 of the Fisheries Act 1996. Such an increase is only possible for stocks listed in the 2nd or 3rd Schedules of the Fisheries Act 1996. Quota owners will be notified if TAC is allocated to them during a fishing year.

If you are transferring any TAC ACE it must be identified separately when transferred. Please enter a new line for the TAC ACE and tick the TAC ACE column.

e.g. If you want to transfer 3000kg of ACE in total, of which 500kg is TAC ACE, you must complete two lines: one line for 2500kg and one line for 500kg, with "TAC ACE" ticked.

Please initial all changes and do not use correction fluid

	Stock species area	Transfer Amount In official whole units of measure	Sale Price per Line (\$NZ GST Exclusive)	Tick only if TAC ACE
1				<input type="checkbox"/>
2				<input type="checkbox"/>
3				<input type="checkbox"/>
4				<input type="checkbox"/>
5				<input type="checkbox"/>
6				<input type="checkbox"/>
7				<input type="checkbox"/>
8				<input type="checkbox"/>
9				<input type="checkbox"/>
10				<input type="checkbox"/>
11				<input type="checkbox"/>
12				<input type="checkbox"/>
13				<input type="checkbox"/>
14				<input type="checkbox"/>
15				<input type="checkbox"/>
16				<input type="checkbox"/>
17				<input type="checkbox"/>
18				<input type="checkbox"/>
19				<input type="checkbox"/>
20				<input type="checkbox"/>
21				<input type="checkbox"/>
22				<input type="checkbox"/>
23				<input type="checkbox"/>
24				<input type="checkbox"/>
25				<input type="checkbox"/>
26				<input type="checkbox"/>
27				<input type="checkbox"/>
28				<input type="checkbox"/>
29				<input type="checkbox"/>
30				<input type="checkbox"/>
31				<input type="checkbox"/>
32				<input type="checkbox"/>
33				<input type="checkbox"/>
34				<input type="checkbox"/>
35				<input type="checkbox"/>
36				<input type="checkbox"/>
37				<input type="checkbox"/>

**Transfer Details
continued**

	Stock species area	Transfer Amount In official whole units of measure	Sale Price per Line (\$NZ GST Exclusive)	Tick only if TAC ACE
38				<input type="radio"/>
39				<input type="radio"/>
40				<input type="radio"/>
41				<input type="radio"/>
42				<input type="radio"/>
43				<input type="radio"/>
44				<input type="radio"/>
45				<input type="radio"/>
46				<input type="radio"/>
47				<input type="radio"/>
48				<input type="radio"/>
49				<input type="radio"/>
50				<input type="radio"/>
51				<input type="radio"/>
52				<input type="radio"/>
53				<input type="radio"/>
54				<input type="radio"/>
55				<input type="radio"/>
56				<input type="radio"/>
57				<input type="radio"/>

Transfer Total

This is the total amount being transferred in this application.

Add all amounts in the Transfer Amount columns on this form and on any additional stock pages which are included with this application.

What is the total amount of ACE being transferred on this application?

Have you attached any additional transfer pages?

No Yes → Number of additional stock pages attached

ACE Owner Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information.
- I have read and understood the Collection of Personal Information details supplied with this form.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

General Notes

All information on this application must be typewritten or hand printed in legible letters using ink. Correction fluid may not be used.

All amendments must be initialled.

Only the ACE owner is required to sign this form.

You may only transfer ACE if you own sufficient ACE as recorded in the ACE Register for the stock. You are unable to transfer ACE if it is subject to a caveat.

This application will be rejected and the fee forfeit if any transfer lines in this application cannot be successfully registered.

The ACE owner and the ACE buyer will be notified of the outcome of this application.

This transfer application is not effective until successfully registered.

This application must be accompanied by the prescribed fee.

The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.

Please make cheques payable to Commercial Fisheries Services Limited.

This fee is inclusive of GST.

Please present this document with the prescribed fee by post to FishServe, P.O. Box 297, Wellington 6140 or in person to Level 6, 135 Victoria Street, Wellington.

If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

Transferee Note

The ACE buyer must be registered as a client with FishServe before a transfer can be registered. Application to become a client may be made with this transfer application; however the transfer application will not be processed until the ACE buyer is successfully registered as a client.

Fishing Year

A separate application form is required to transfer ACE for stocks with different fishing year start and end dates. If you are transferring ACE for different periods (i.e. current, next or previous fishing years), you must use a separate form for each period.

ACE may only be transferred for the following periods:

- The current fishing year for a stock.
- The next fishing year for a stock (FishServe will hold this transfer until the first day of that fishing year and register the transfer at the start of that first day.)
- The previous fishing year for a stock - but only if the transfer application is received and registered by FishServe by the 15th day after the end of that fishing year.

Collection of Personal Information

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect and hold this information is FishServe (PO Box 297, Wellington, 6140).

The provision of this information is mandatory for the transfer of ACE.

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your personal address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.