

# Application to Register a Transfer of Quota Shares

This form cannot be used to transfer settlement quota.

Document Number

**From: Quota Owner/Transferor**

Quota Owner Client Number

This is the person from whom the quota shares are being transferred.

FishServe use only

Full Legal Name

**To: Quota Buyer/Transferee**

Transferee Client Number

This is the person to whom the quota shares are being transferred.

Full Legal Name

**Transfer Details**

If you are transferring quota shares for more stocks than this form provides for, continue on a photocopy of the transfer details table on page two or three of this form. Any additional transfer details pages must be signed by the Quota owner and must be securely attached to this application. Note that part of a quota share is not transferable.

This application will be rejected if:

1. The transferor does not own the quota to be transferred; or
2. The quota shares to be transferred are subject to any caveat or mortgage registered under the Fisheries Act 1996.

**Transfer Amount**

This is the amount of quota shares being transferred for the stock. The amount must be given in number of shares.

**Sale Price per Line**

The sale price received for each line.

	Stock species   area	Transfer Amount (Number of Quota Shares)	Sale Price per Line (\$NZ GST Exclusive)
1			
2			
3			
4			
5			
6			
7			
8			
9			
10			

Transfer Amount subtotal for this page (optional)

**FishServe Use Only**

Receipt No. _____	Data Entry Initials _____	Verification Initials _____
Fee Required \$ _____	Data Entry Completed ___/___/___	Verification Completed ___/___/___
Fee Paid \$ _____		

OFFICE USE ONLY

  
  

DATE PRESENTED

## Transfer Details Continued

	Stock species   area	Transfer Amount (Number of Quota Shares)	Sale Price per Line (\$NZ GST Exclusive)
11			
12			
13			
14			
15			
16			
17			
18			
19			
20			
21			
22			
23			
24			
25			
26			
27			
28			
29			
30			
31			
32			
33			
34			
35			
36			
37			
38			
39			
40			
41			
42			
43			
44			
45			
46			

Transfer Amount subtotal for  
this page (optional)

## Transfer Details Continued

	Stock species   area	Transfer Amount (Number of Quota Shares)	Sale Price per Line (\$NZ GST Exclusive)
47			
48			
49			
50			
51			
52			
53			
54			
55			
56			
57			
58			
59			
60			
61			
62			
63			
64			
65			

Transfer Amount subtotal for this page (optional)

Have you attached any additional transfer details pages?

No

Yes



Number of additional transfer details pages attached

### Transfer Total

This is the total amount being transferred in this application.

Add all amounts in the Transfer Amount columns on this form and on any additional transfer details pages which are included in this application. → What is the total number of quota shares being transferred on this application?

### Quota Owner Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- The transferee named in this application is aware of and has consented to this application.
- I am aware it is an offence to provide false or misleading information or omit any material information.
- I have read and understood the Collection of Personal Information details supplied with this form.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

### **General Notes**

All information on this application must be typewritten or hand printed in legible letters using ink. Correction fluid may not be used.

All amendments must be initialled.

Only the Quota owner is required to sign this form.

You may only transfer quota shares if you own sufficient quota shares as recorded in the Quota Register for the stock. You are unable to transfer quota shares if they are subject to a caveat or a mortgage.

This application will be rejected and the fee forfeit if any transfer lines in this application cannot be successfully registered.

The Quota owner and the transferee will be notified of the outcome of this application.

This transfer application is not effective until successfully registered.

A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.

This application must be accompanied by the prescribed fee.

The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.

Please make cheques payable to Commercial Fisheries Services Limited.

This fee is inclusive of GST.

Please present this document with the prescribed fee by post to FishServe, P.O. Box 297, Wellington 6140 or in person to Level 6, 135 Victoria Street, Wellington.

If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

### **Transferee Note**

The transferee must be registered as a client with FishServe before a transfer can be registered. Application to become a client may be made with this transfer application.

### **Collection of Personal Information**

In regard to any information being collected on this form that is personal information, notification is hereby provided, in accordance with Principle 3 of the Privacy Act 1993 of the following matters:

This information is being collected for purposes relating to the management of fisheries resources in accordance with the Fisheries Act 1996 and regulations made pursuant to the Act.

The agency that will collect and hold this information is FishServe (PO Box 297, Wellington, 6140).

The provision of this information is mandatory for the transfer of quota shares under section 132 of the Fisheries Act 1996.

It is an offence under the Fisheries Act 1996 to make a false or misleading statement or entry of information. Penalties are set out in the Fisheries Act 1996.

You are reminded that under Principles 6 and 7 of the Privacy Act 1993, you have the right of access to, and correction of, any personal information which has been provided.

You may apply to FishServe to prevent the display of your personal address on registers kept under the Fisheries Act 1996 where you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.