

Register a Caveat over ACE (Annual Catch Entitlement)

ACE Owner Details

This is the person whose ACE is being caveated.

ACE Owner Client Number

ACE Owner Full Legal Name

Caveator Details

This is the person who is registering the caveat over the ACE.

Caveator Client Number

Caveator Full Legal Name

Fishing Year

Tick the fishing year which applies to the stocks which you are caveating and then specify the fishing year for which you want to caveat ACE.

E.g. "This caveat application is for stocks with an April fishing year and ACE for the 2016/2017 fishing year of these stocks".

Note:

(1) All lines listed in this application will be caveated for the fishing year you specify here.

(2) You must complete a separate application for each fishing year.

E.g.) Stocks with a fishing year of October 2016/2017 must be transferred on a separate application to stocks with an April 2016/2017 fishing year and so on.

This caveat application is for:

Stocks with either: (tick one only)

an October fishing year
or

a February fishing year
or

an April fishing year

and

ACE for the

Year

fishing year of these stocks.

FishServe Use Only

Receipt No. _____

Fee Required \$ _____

Fee Paid \$ _____

Data Entry Initials _____

Data Entry completed ___/___/___

OFFICE USE ONLY

DATE PRESENTED

Caveat Details

If you are registering a caveat over ACE for more stocks than this form provides for, continue on a photocopy of the caveat details table on pages two or three of this application. Any additional caveat details pages must be signed by the ACE owner and the Caveator and must be securely attached to this application.

Caveat Amount

This is the amount of ACE being caveated for the stock. The amount must be given in whole kilograms or, for OYU5 in whole numbers. You do not need to write "kgs" or "oyster numbers", as the official unit of measure for the stock will apply automatically to the amount given.

E.g. If you want to caveat 1200kg of ACE, write "1200". Do not write "1.2" or any other unit of measure.

	Stock species area	Caveat Amount
1		
2		
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35		
36		
37		

Continue on the next page if necessary

Caveat Details continued

	Stock species area	Caveat Amount
38		
39		
40		
41		
42		
43		
44		
45		
46		
47		
48		
49		
50		

Have you attached any additional caveat details pages?

No
 Yes
 →
 Number of additional caveat details pages attached

ACE Owner Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information to obtain a benefit under the Fisheries Act 1996.
- I have read and understood the Collection of Personal Information details supplied with this form.
- I consent to the registration of the caveats detailed in this application.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

Caveator Declaration

I declare that:

- The information I have given on this application is true and correct.
- I am authorised to complete this form and make this declaration.
- I am aware it is an offence to provide false or misleading information or omit any material information.
- I have read and understood the Collection of Personal Information details supplied with this form.

If there are more signatories than space provided make further declarations on a copy of this page.

Full Name of Signatory	Position	Signature	Date
			/ /
			/ /
			/ /
			/ /

General Notes

It is an offence under section 230(1) of the Fisheries Act 1996 to make any false or misleading statement in this application. If you are convicted of an offence against that section, you are liable to a fine not exceeding \$250,000.

All information on this application must be typewritten or hand printed in legible letters using ink. Correction fluid may not be used.

All amendments must be initialled.

Both the ACE owner and the Caveator are required to sign this form.

You may only caveat ACE where the owner holds sufficient ACE for the stock. You are unable to caveat ACE that is already subject to a caveat.

The ACE owner and the Caveator will be notified of the outcome of this application.

This caveat application is not effective until successfully registered.

A successful application for registration will be registered pursuant to section 159 of the Fisheries Act 1996.

This application must be accompanied by the prescribed fee.

The fee for this application can be determined by referring to the Schedule of Fees available from FishServe.

This fee is inclusive of GST.

This application can be submitted via post to FishServe, P.O. Box 297, Wellington, 6140 or e-mail to registry@fishserve.co.nz.

If you have any queries regarding this form, please contact the FishServe helpline on 04 460 9555.

Fishing Year

A separate application form is required to caveat ACE for stocks with different fishing years.

ACE may only be caveated for the following periods:

- The current fishing year for a stock; or
- The previous fishing year for a stock. Such as an application must be received and registered by FishServe by the 15th day after the end of that fishing year, and will only have effect until the end of that 15th day.

Effect of a Caveat

A caveat over ACE prevents the transfer of the amount of ACE caveated.

A caveat over ACE does not prevent reported catch being compared against caveated ACE for balancing purposes.

For the owner to be able to transfer caveated ACE, the Caveator must first withdraw the caveat, either in whole or in part.

A Caveator may withdraw a caveat in whole or part at any time before the 15th day after the end of a fishing year.

Privacy Act 2020 — Collection of Personal Information

Your personal information is being collected to enable your application to register a caveat over Annual Catch Entitlement (ACE) to be processed.

The agency collecting and holding this information is Commercial Fisheries Services Limited (FishServe), PO Box 297, Wellington, 6140.

The collection of this information is required under section 149 of the Fisheries Act 1996. It is not mandatory that you supply this information, but your application may not be processed if you do not provide all the information requested on this form.

You have the right to access and correct your personal information.

You may apply to FishServe to prevent the display of your personal address on registers kept under the Fisheries Act 1996 if you consider that disclosure would be prejudicial to your personal safety or to the safety of your family.